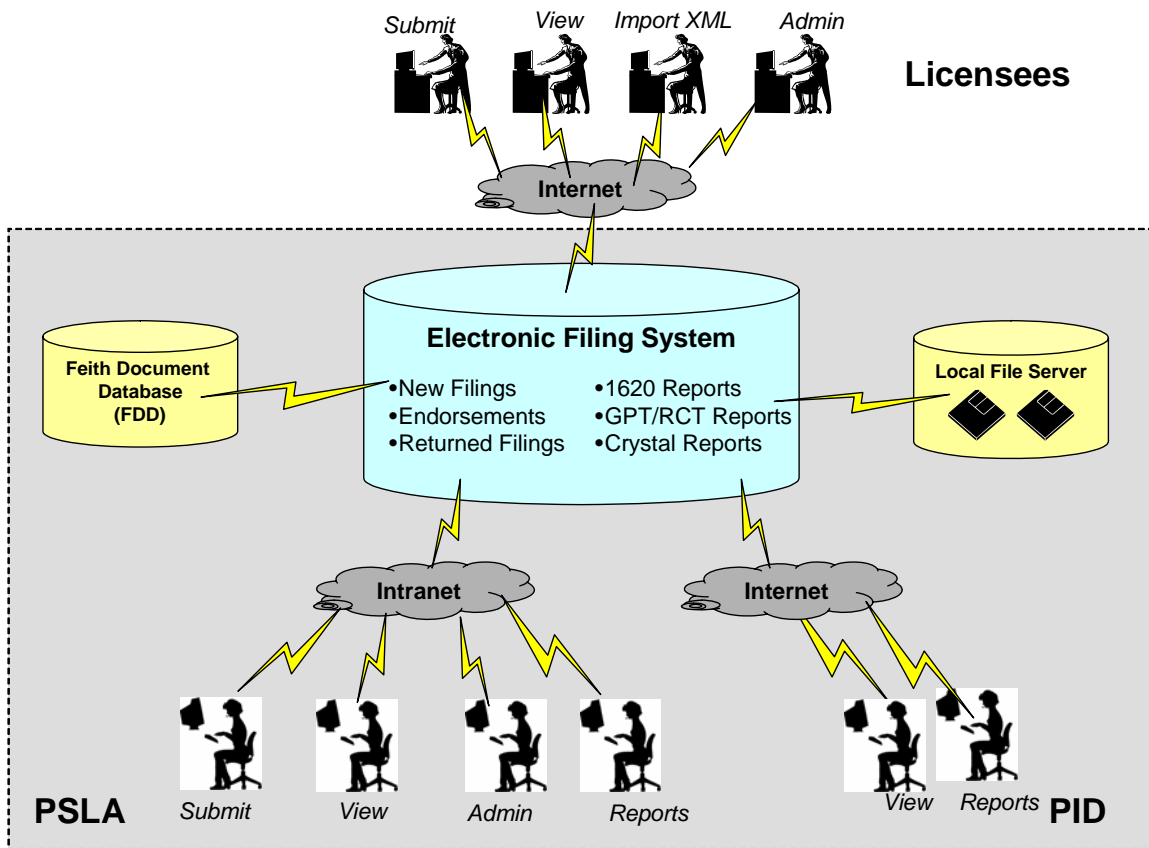


PENNSYLVANIA SURPLUS LINES ASSOCIATION

Electronic Filing System User Manual for XML Export/Import



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1 XML EXPORT/IMPORT

The XML (Extensible Markup Language) Export/Import function is targeted at agencies where filing information is stored in the agency's computer system and the computer system prints out filings that are mailed to PSLA. PSLA receives the filing, scans the paper filing, and manually retypes filing information into the Electronic Filing System. The XML Export/Import function eliminates the need for the agency to print/mail the filing and the need for PSLA to manually retype in the filing information. The XML Export/Import process is:

- The agency computer system generates/exports an XML text file that contains filing information
- The agency computer system emails the XML text file to PSLA
- PSLA's Electronic Filing System validates filing information in the XML text file and imports it into PSLA's database
- PSLA's Electronic Filing System sends a return email confirming a successful import
- The filing is available for review over the Internet similar to filings entered by Agency Users or PSLA Users.

A single XML file may contain information for multiple policies but the typical usage is to have one policy per XML file. The XML Export/Import function supports submitting new filings. It does not support revisions, endorsements, 1620 reports, or RCT reports.

Please contact efshelp@pasla.org if you are interested in the XML Export/Import function.

2 XML FILE STRUCTURE

XML uses tags to identify information. The leading tag has the format **<tagname>** the trailing tag has the format **</tagname>** and the value is between the leading and trailing tags. For example a filing with a policy number of P1234XYZ would have the following information in the XML file.

```
<policy_number>P1234XYZ</policy_number>
```

A more complicated structure is where one piece of data hierarchically relates to another piece of data. For example, property limit, casualty limit, and premium are related to the Eligible Insurer. If a filing entered into the EFS Affidavit Form contains a single Eligible Insurer (as shown in the image below)...

ELIGIBLE INSURERS		Add Another Insurer	Remove Last Insurer		
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	22416	LLOYD'S (UNDERWRITE	\$ 1,000	\$ 10,000	\$ 100.00
Total from Eligible Insurers			\$ 1,000	\$ 10,000	\$ 100.00

...the analogous XML file contains the following.

```
<eligible_insurers>
  <eligible_insurer>
    <org_id>22416</org_id>
    <property_limit>1000</property_limit>
    <casualty_limit>10000</casualty_limit>
    <premium>100.00</premium>
  </eligible_insurer>
</eligible_insurers>
```

If a filing entered into the EFS Affidavit Form contains multiple Eligible Insurers (as shown in the image below),

ELIGIBLE INSURERS		Add Another Insurer	Remove Last Insurer		
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	22416	LLOYD'S (UNDERWRITE	\$ 1,000	\$ 10,000	\$ 100.00
2	52712	VICTORIA INSURANCE C	\$ 2,000	\$ 20,000	\$ 200.00
Total from Eligible Insurers			\$ 3,000	\$ 30,000	\$ 300.00
<input type="checkbox"/> Check here if the above totals match the totals on the form					

the analogous XML file contains the following.

```

<eligible_insurers>
  <eligible_insurer>
    <org_id>22416</org_id>
    <property_limit>1000</property_limit>
    <casualty_limit>10000</casualty_limit>
    <premium>100.00</premium>
  </eligible_insurer>
  <eligible_insurer>
    <org_id>52712</org_id>
    <property_limit>2000</property_limit>
    <casualty_limit>20000</casualty_limit>
    <premium>200.00</premium>
  </eligible_insurer>
</eligible_insurers>

```

3 XML FILE FORMAT

A single XML File may contain multiple filings. The first section in the XML file is called the “filing header” and it describes the number of filings and the total premium of all filings. EFS validates the premium listed in the filing header against the sum of premiums for each filing to ensure consistency.

- The **filing_header** section contains the following.
 - The number of new and revised filings in the file.
 - The total amount of premium for all new and revised filings.
- The section for each new **Filing** contains:
 - Customer ID
 - Filing Type of “New”
 - XML Transaction ID. Each filing in the file must be numbered in sequential order. Validation errors will be reported against the XML Transaction ID.
 - Filing Type ID. The values are:
 - 1 for 1609-SLL/1609-PR
 - 2 for 1609-B
 - 3 for 1610-A
 - 4 for 1610-B
 - 5 for 1604-E
 - The remaining information needed is dependent on whether the filing type is 1609-SLL/1609-PR, 1609B, 1610-A, 1610-B, or 1604-E. Refer to the [XML Tags](#) tables for details.

Refer to the example below for an XML File that contains one New Filing.

- NOTE: the New Filing is a 1609-SLL/1609-PR so a “zipped” file of the PR image must be imported with the XML file and the PR image file name must be described in the 1609-SLL/1609-PR filing section. See section with **red** font.

XML Example

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <individual_licensee_number>56142</individual_licensee_number>
    <filing_type_id>1</filing_type_id>
    <policy_number>XMLExample</policy_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>29</type_of_coverage>
    <description_of_insured_operation>21</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
    <pr_image_filename>Test1609-1.pdf</pr_image_filename>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS. The filing is in review because the attached 1609-PR image must be reviewed by PSLA.

This policy is in Filing Review because of the errors highlighted below.

Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341	Customer ID	0753		
	Policy Number	XMLEXAMPLE		
	Binder Number			
Customer Reference Number				

Type of Filing (please select one): 1609-SLL/1609-PR

REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED

DECLARATION BY SURPLUS LINES LICENSEE

Insured Name JOHN SMITH

Location of Risk	Zip	City	State
211 WELSH POOL ROAD	19341	EXTON	PA

Type of Coverage	Description of Insured's Operation
Public Officials Liability	Amusement Parks/Devices
Public Officials Liability	Amusement Parks/Devices

Effective Dates (term) of Coverage

Effective Date (mm/dd/yyyy)	Expiration Date (mm/dd/yyyy)
01/01/2006	01/01/2007

With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL/1609-PR type of filing.]

ELIGIBLE INSURERS		Add Another Insurer		Remove Last Insurer	
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0	\$ 1,000.00
Total from Eligible Insurers			\$ 10,000,000	\$ 0	\$ 1,000.00

4 XML TAG NAMES

XML Tags - Header

XML Tag	Data Type	Description
login	String	The Electronic Filing System Login Id (note the permission for XML Import must be enabled)
password	String	The password associated with the Login ID
number_of_filings	Integer	The total number of new and revised filings contained in the XML file.
total_of_filings_premium	Decimal	The total amount of premium for the new and revised filings contained in the XML file.

XML Tags – New Filing

Affidavit Form Label	XML Tag	Data Type	Filing Types				
			1 1609-SLL	2 1609-B	3 1610-A	4 1610-B	5 1604-E
Customer ID	customer_id	String	Required	Required	Required	Required	Required
Policy Number	policy_number	String	Note 1	Note 1	Note 1	Note 1	Note 1
Binder Number	binder_number	String	Note 1	Note 1	Note 1	Note 1	Note 1
Customer Reference Number	customer_reference_number	String	Optional	Optional	Optional	Optional	Optional
Type of Filing	filing_type_id	Integer	Required	Required	Required	Required	Required
Purchasing Group	purchasing_group_id	Integer	N/A	N/A	N/A	Required	N/A
Insured Name	insured_name	String	Required	Required	Required	Required	Required
Location of Risk	location_of_risk	String	Required	Required	Required	Required	Required
City	city	String	Required	Required	Required	Required	Required
Zip	zipcode		Required	Required	Required	Required	Required
State	state	String = PA	Required	Required	Required	Required	Required
Type of Coverage	type_of_coverage	Integer – see list	Required	Required	Required	Required	Required
Description of Insured Operation	description_of_insured_operation	Integer – see list	Required	Required	Required	Required	Required
Effective Date	effective_date	MM/DD/YYYY	Required	Required	Required	Required	Required
Expiration Date	expiration_date	MM/DD/YYYY	Required	Required	Required	Required	Required
Eligible Insurer	eligible_insurer	Integer	Required	Required	Required	Required	Required
Eligible Insurer Number	org_ID		Required	required	required	required	required
Property Limit	property_limit		Note 2	Note 2	Note 2	Note 2	Note 2
Casualty Limit	casualty_limit		Note 2	Note 2	Note 2	Note 2	Note 2
Premium	premium		Note 3	Note 3	Note 3	Note 3	Note 3
For Reporting Only	reporting_only		Note 3	Note 3	Note 3	Note 3	Note 3
Check here only if Tax Exempt	tax_exempt	Boolean (T=tax exempt, F=not exempt)	Required only if tax exempt	Required only if tax exempt	Required only if tax exempt	Required only if tax exempt	Required only if tax exempt
Check here if Form1606-A is used	Note 5						
Full explanation of why the risk could not be placed with admitted or eligible surplus lines insurers	explanation_for_1606a	String	Optional	Optional	Optional	Optional	Optional
Ineligible Insurer	ineligible_insurer		Optional	Optional	Optional	Optional	Optional
Ineligible Insurer Name	car_name	String	Optional	Optional	Optional	Optional	Optional
Surplus Lines Individual Licensee	individual_licensee_number		Required	Required	Required	Required	Required
Check here if you were unable to obtain 1609-PR Form	no_pr_available	Boolean (T=true, F=false)	Note 4	N/A	N/A	N/A	N/A
Producer Name	producer_name_overr	string	Note 4	N/A	N/A	N/A	N/A

Affidavit Form Label	XML Tag	Data Type	Filing Types				
			1 1609-SLL	2 1609-B	3 1610-A	4 1610-B	5 1604-E
	ide						
Producer Address	producer_address_override	string	Note 4	N/A	N/A	N/A	N/A
Select the 1609-PR scan from your system	pr_image_filename	string	Required	N/A	N/A	N/A	N/A

- N/A: Not applicable
- Note 1: if a policy number is not provided then a binder number is required
- Note 2: either a property limit or a casualty limit must be provided. Both cannot be \$0.
- Note 3: premium must be > \$0 unless the “for reporting only” option is checked.
- Note 4: if “unable to obtain 1609-PR form” is checked, an agency may submit a 1609-SLL/1609-PR without an attached PR image as long as the Producer Name and Producer Address are provided. **Warning:** 1609-SLL/1609-PR filings that are missing an attached PR image are reported to the Pennsylvania Insurance Department (PID). Checking “unable to obtain 1609-PR Form” enables an agency to submit a filing but the filing will still be reported to PID.
- Note 5: If the tagname <Ineligible_Insurer> is included in the file then the Electronic Filing System assumes the “Check here if Form 1606-A is used” box is checked. If the Ineligible Insurer tagname is not included in the file, then EFS assumes the box is unchecked. Refer to the [Multiple Eligible and Ineligible Insurers example](#).

5 PROCEDURE TO IMPORT AN XML FILE INTO EFS

5.1 Import Individual Filings via Email

Email is the recommended method to import an XML file if the agency's computer system exports an XML file for each individual filing.

- Click new email
- Enter the email address for XML Export/Import
 - The email address will be provided by PSLA's IT Department. Contact EFShelp@pasla.org if you are interested in XML Export/Import.
- Enter the subject (i.e. policy number)
- Attach the XML text file
- If the filing type is 1609-SLL/1609-PR, attach the PR image file.
- Click send
- Electronic Filing System will:
 - Validate the Login ID has permission for XML Import
 - Validate the password
 - Validate filing information
 - Submit the filing (if there are no critical errors)
 - Send a reply email to the Login ID's email address with the results (PSLA ID if the filing was submitted or the list of errors that prevented submittal)

5.2 Import a Batch of Filings via a Web Page

The Web Page Upload import method is recommended if the agency's computer system generates a single XML file for a batch of filings. If the XML file contains 1609-SL/1609-PR filings, the associated PR images must be uploaded as a zip file. The Web page may be used to upload individual filings but email would be more efficient.

- Open up your Internet Browser
- Go to the XML Export/Import web page
 - The URL will be provided by PSLA's IT Department. Contact EFShelp@pasla.org if you are interested in XML Export/Import.

The screenshot shows a web browser window displaying the PSLA XML Import interface. At the top left is the PSLA logo. The main header is a dark purple bar with the text 'XML Import' in white. Below this is a light gray box titled 'Submit XML Data'. Inside this box, there are two rows of input fields. The first row is labeled 'XML File Import' and has a text input field followed by a 'Browse...' button. The second row is labeled 'XML Zip File with PR Images' and also has a text input field followed by a 'Browse...' button. At the bottom of the 'Submit XML Data' box is a 'Proceed With Import' button.

- Click the **Browse** button and select the XML text file to upload.
- If the filing type is 1609-SLL/1609-PR, click the lower **Browse** button to select the zip image file containing the PR images.
- Click **Proceed with Import**.
- Electronic Filing System will:

- Validate the Login ID in the XML fFile has permission for XML Import
- Validate the password
- Validate filing information
- Submit the filing (if there are no critical errors)
- Display the results (PSLA ID if the filing was submitted or the list of errors that prevented submittal)

```

<?xml version="1.0" ?>
- <filing_results>
- <filing_result>
  <xml_tran_id>1</xml_tran_id>
  <valid>true</valid>
  <type>new</type>
  <psla_id>891978</psla_id>
</filing_result>
</filing_results>

```

- Submitted filings may be viewed in EFS. Use the Search page to search for the PSLA ID

PSLA
Search For Affidavit/Endorsement

[Home](#) signed in as Vic Lim [vlim@limnorris.com] on beta [? Help](#)

Enter Search Information

<input type="text" value="Customer ID"/> <input type="text" value="Policy No."/> <input type="text" value="Binder No."/> <input type="text" value="Cust Ref. No."/> <input type="text" value="Insured Name"/> <input style="background-color: #e0e0e0;" type="text" value="Tax Status"/> <input style="background-color: #e0e0e0;" type="text" value="PSLA ID"/> <input style="background-color: #e0e0e0;" type="text" value="Resident State"/> <input type="text" value="Login ID"/> <input style="background-color: #e0e0e0;" type="text" value="Original Filing Source"/>	<input type="text" value="Entry Date Range"/> to <input type="text" value=""/> <input type="text" value="Eff. Date Range"/> to <input type="text" value=""/> <input type="text" value="Exp. Date Range"/> to <input type="text" value=""/> <input style="background-color: #e0e0e0;" type="text" value="SL Individ. Lic. No."/> Select the Individual Licensee <input type="text" value="Producer Lic. No."/> <input style="background-color: #e0e0e0;" type="text" value="Type of Coverage"/> Select the type of coverage <input style="background-color: #e0e0e0;" type="text" value="Filing Status"/> Select a Filing Status <input style="background-color: #e0e0e0;" type="text" value="Filing Type"/> Select a Filing Type <input type="text" value="Customer Org ID"/> <input type="text" value="Cor. Rec. Date Range"/> to <input type="text" value=""/>
---	---

Show Late Filings Only Show Late Filings

Search For Policy

1 Policies Found

Policy No.	PSLA ID	FS	Entry Date	Insured Name	Eff. Date	Exp. Date	Property Limit	Casualty Limit	Premium
<input type="radio"/> XMLEXAMPLE-1609-SLL	891978	NW	02-01-08	JOHN SMITH	01-01-06	01-01-07	10,000,000	0	1,000.00

1

<input type="button" value="View or Revise Filing"/>	<input type="button" value="View History"/>	<input type="button" value="Submit Endorsement"/>	<input type="button" value="Submit Original Filing"/>
<input type="button" value="Inactivate"/>	<input type="button" value="Cancel"/>	<input type="button" value="Reinstate"/>	<input type="button" value="Refund"/>
<input type="button" value="Create 1609-PR Form"/>		<input type="button" value="Save Results"/>	

6 XML EXPORT/IMPORT FILE EXAMPLES

6.1 Filing Type 1609-SLL/1609-PR

Below is a sample XML file for a new filing of type 1609-SLL/1609-PR. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- Filing Type 1609-SLL/1609-PR requires an attached image. The image must be “zipped” and the field “pr_image_filename” must be included in the XML file. The PR image filename is “XML_Example-PRImage” in the example below.
- The value for filing_type_id is 1 for a 1609-SLL/1609-PR filing type.

File Name = XMLExample-1609-SLL.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>1</filing_type_id>
    <policy_number>XMLExample-1609-SLL</policy_number>
    <binder_number>XMLExample-1609-SLL</binder_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>29</type_of_coverage>
    <description_of_insured_operation>21</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
    <pr_image_filename>XML_Example-PRImage.pdf</pr_image_filename>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS.

Enter Updated Filing Information				
This policy is in Filing Review because of the errors highlighted below.				
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341	Customer ID	0753		
	Policy Number	XMLEXAMPLE-1609-SLL		
	Binder Number	XMLEXAMPLE-1609-SLL		
Customer Reference Number				
Type of Filing (please select one): 1609-SLL/1609-PR				
<small>REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI. SURPLUS LINES. OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED</small>				
DECLARATION BY SURPLUS LINES LICENSEE				
Insured Name: JOHN SMITH				
Location of Risk		Zip	City	State
211 WELSH POOL ROAD		19341	EXTON	PA
Type of Coverage		Description of Insured's Operation		
Public Officials Liability		Amusement Parks/Devices		
Public Officials Liability		Amusement Parks/Devices		
Effective Dates (term) of Coverage				
Effective Date (mm/dd/yyyy)		Expiration Date (mm/dd/yyyy)		
01/01/2006		01/01/2007		
<small>With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL/1609-PR type of filing.]</small>				
ELIGIBLE INSURERS		Add Another Insurer		Remove Last Insurer
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0
Total from Eligible Insurers			\$ 10,000,000	\$ 0
			\$ 1,000.00	\$ 1,000.00

6.2 Filing Type 1609-SLL/1609-PR – Unable to Obtain PR Image

Below is a sample XML file for a new filing of type 1609-SLL/1609-PR where the agency was unable to obtain a signed PR image from the Producer. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- The value for filing_type_id is 1.
- The fields “no_pr_available”, “producer_name_override”, and “producer_address_override” are required as shown below.

```
<no_pr_available>T</no_pr_available>    {The "T" is for "True"}
<producer_name_override>Bad Boy</producer_name_override>
<producer_address_override>12345 Street Philadelphia PA</producer_address_override>
```
- These filings can be submitted but they are reported to the Pennsylvania Insurance Department as missing a PR image.

File Name = XML_Example-1609-SLL-noPR.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>1</filing_type_id>
    <policy_number>XMLExample-1609-SLL-noPR</policy_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>29</type_of_coverage>
    <description_of_insured_operation>21</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
    <no_pr_available>T</no_pr_available>
    <producer_name_override>Bad Boy</producer_name_override>
    <producer_address_override>12345 Street Philadelphia PA</producer_address_override>
  </filing>
</filings>
```

</filings>

Below is an image of the filing after it was successfully imported into EFS. Please note the **unable to obtain the 1609-PR form** box is checked and the producer name and address is filled in.

Enter Updated Filing Information				
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341		Customer ID	0753	
		Policy Number	XMLEXAMPLE-1609-SLL-NOPR	
		Binder Number		
		Customer Reference Number		
Type of Filing (please select one): 1609-SLL/1609-PR				
REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED				
DECLARATION BY SURPLUS LINES LICENSEE				
Insured Name JOHN SMITH				
Location of Risk		Zip	City	State
211 WELSH POOL ROAD		19341	EXTON	PA
Type of Coverage		Description of Insured's Operation		
Public Officials Liability		Amusement Parks/Devices		
Public Officials Liability		Amusement Parks/Devices		
Effective Dates (term) of Coverage				
Effective Date (mm/dd/yyyy)		Expiration Date (mm/dd/yyyy)		
01/01/2006		01/01/2007		
With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL/1609-PR type of filing.]				
ELIGIBLE INSURERS				
		Add Another Insurer		Remove Last Insurer
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit
	Premium			
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0
			\$ 1,000.00	
Total from Eligible Insurers		\$ 10,000,000	\$ 0	\$ 1,000.00
I further declare under the penalties provided for perjury, that at the time of presenting a quotation to the insured, the insured was given notice in writing, either directly or through the producer, that:				
The insurer with whom the insurance is to be placed is not admitted to transact business in this Commonwealth and is subject to limited regulation by the Department; and in the event of the insolvency of the insurer, losses will not be paid by the Pennsylvania Property and Casualty Insurance Guaranty Association.				
Tax		\$ 30.00	<input type="checkbox"/> Check here ONLY if Tax Exempt	
Filing Fee		\$ 15.00		
<input type="checkbox"/> Check here if FORM 1606-A is attached as a portion of the risk has been assigned in accordance with Section 1606 to a non-admitted insurer not on the Pennsylvania Insurance Department's current list of eligible surplus lines insurers.				
Surplus Lines Individual Licensee		Surplus Lines Agency Name		
RUDERT, KENNETH A		PENNSYLVANIA SURPLUS LINES ASSOCIA'		
Producer (FORM 1609-PR)				
<input checked="" type="checkbox"/> Check here if after numerous attempts you were unable to obtain the 1609-PR form from the producer. 1609-SLL filings without a 1609-PR form will be reported to PID.				
Producer Name		Producer Address		
BAD BOY		12345 STREET PHILADELPHIA PA		
Select the 1609-PR scan from your system		Browse...		
Validate The Policy		Exit		

6.3 Filing Type 1609-B

Below is a sample XML file for a new filing of type 1609-B. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- The value for filing_type_id is 2.

File Name = XMLExample-1609-B.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>2</filing_type_id>
    <policy_number>XMLExample-1609-B</policy_number>
    <binder_number>XMLExample-1609-B</binder_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>19</type_of_coverage>
    <description_of_insured_operation>17</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS.

Enter Updated Filing Information					
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341	Customer ID	0753			
	Policy Number	XMLEXAMPLE-1609-B			
	Binder Number	XMLEXAMPLE-1609-B			
Customer Reference Number					
Type of Filing (please select one): 1609-B					
<small>REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED</small>					
DECLARATION BY SURPLUS LINES LICENSEE					
Insured Name JOHN SMITH					
Location of Risk		Zip	City	State	
211 WELSH POOL ROAD		19341	EXTON	PA	
Type of Coverage		Description of Insured's Operation			
Employer Practices Liability		Manufacturing-Miscellaneous			
Employer Practices Liability		Manufacturing-Miscellaneous			
Effective Dates (term) of Coverage					
Effective Date (mm/dd/yyyy)		Expiration Date (mm/dd/yyyy)			
01/01/2006		01/01/2007			
<small>With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL/1609-PR type of filing.]</small>					
ELIGIBLE INSURERS		Add Another Insurer		Remove Last Insurer	
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0	\$ 1,000.00
Total from Eligible Insurers			\$ 10,000,000	\$ 0	\$ 1,000.00

6.4 Filing Type 1610-A

Below is a sample XML file for a new filing of type 1610-A. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- The value for filing_type_id is 3.

File Name = XML_Example-1610-A.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>3</filing_type_id>
    <policy_number>XMLExample-1610-A</policy_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>19</type_of_coverage>
    <description_of_insured_operation>17</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS.

Enter Updated Filing Information				
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341		<u>Customer ID</u> 0753		
		<u>Policy Number</u>	XMLEXAMPLE-1610-A	
		<u>Binder Number</u>	XMLEXAMPLE-1610-A	
		<u>Customer Reference Number</u>		
<u>Type of Filing</u> (please select one): 1610-A				
REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED				
DECLARATION BY SURPLUS LINES LICENSEE				
<u>Insured Name</u> JOHN SMITH				
<u>Location of Risk</u> 211 WELSH POOL ROAD		<u>Zip</u> 19341	<u>City</u> EXTON	<u>State</u> PA
<u>Type of Coverage</u> Employer Practices Liability		<u>Description of Insured's Operation</u> Manufacturing-Miscellaneous		
Employer Practices Liability		Manufacturing-Miscellaneous		
Effective Dates (term) of Coverage				
<u>Effective Date</u> (mm/dd/yyyy) 01/01/2006		<u>Expiration Date</u> (mm/dd/yyyy) 01/01/2007		
With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL/1609-PR type of filing.]				
ELIGIBLE INSURERS		Add Another Insurer		Remove Last Insurer
	<u>Eligible Insurer Number</u>	<u>Eligible Insurer</u>	<u>Property Limit</u>	<u>Casualty Limit</u>
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0
Total from Eligible Insurers			\$ 10,000,000	\$ 0
			\$ 1,000.00	\$ 1,000.00

6.5 Filing Type 1610-B

Below is a sample XML file for a new filing of type 1610-B. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- The value for filing_type_id is 4.
- A value for the “purchasing_group” field is required. Refer to the Purchasing Group Table to find the value associated with the purchasing group name.

File Name = XML_Example-1610-B.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>4</filing_type_id>
    <policy_number>XMLExample-1610-B</policy_number>
    <binder_number>XMLExample-1610-B</binder_number>
    <purchasing_group_id>36828</purchasing_group_id>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>19</type_of_coverage>
    <description_of_insured_operation>17</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS.

Enter Updated Filing Information					
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341		Customer ID 0753			
		Policy Number XMLEXAMPLE-1610-B			
		Binder Number XMLEXAMPLE-1610-B			
		Customer Reference Number			
Type of Filing (please select one): 1610-B					
Name of Purchasing Group: = Select the purchasing group =					
REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P. L. 682, NO. 284, AS AMENDED					
DECLARATION BY SURPLUS LINES LICENSEE					
Insured Name JOHN SMITH					
Location of Risk 211 WELSH POOL ROAD		Zip 19341	City EXTON	State PA	
Type of Coverage Employer Practices Liability		Description of Insured's Operation Manufacturing-Miscellaneous			
Employer Practices Liability		Manufacturing-Miscellaneous			
Effective Dates (term) of Coverage					
Effective Date (mm/dd/yyyy) 01/01/2006		Expiration Date (mm/dd/yyyy) 01/01/2007			
With respect to the risk described above, I hereby declare under the penalties provided for perjury that I am not aware of how the coverage can be procured from licensed insurers. I have, therefore, affected the insurance described below with the following eligible surplus line insurer(s). [This is only applicable to the 1609-SLL / 1609-PR type of filing.]					
ELIGIBLE INSURERS					
		<input type="button" value="Add Another Insurer"/>		<input type="button" value="Remove Last Insurer"/>	
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0	\$ 1,000.00

6.6 Filing Type 1604-E

Below is a sample XML file for a new filing of type 1604-E. Please note the following.

- The “customer_id” field is required for all filings.
- The premium listed in the field called “filing_header” must be the total of all premiums listed in the XML file. In this example there is only one filing and the premium is \$1000.
 - NOTE: enter the integer value of the premium. Do not use “\$” or “commas”.
- The value for filing_type_id is 5.
- The field for individual_licensee_number is not required.
- Either the [Type of Coverage](#) (Kind) or the [Description of Insured's Operation](#) (Class) must be found on the Export List. Please click on the hyperlink to display the list from www.pasla.org.

File Name = XML_Example-1604-E.xml

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>1</number_of_filings>
    <total_of_filing_premiums>1000</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>5</filing_type_id>
    <policy_number>XMLExample-1604-E</policy_number>
    <binder_number>XMLExample-1604-E</binder_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>17</type_of_coverage>
    <description_of_insured_operation>57</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000</premium>
      </eligible_insurer>
    </eligible_insurers>
  </filing>
</filings>
```

Below is an image of the filing after it was successfully imported into EFS.

Enter Updated Filing Information					
Pennsylvania Surplus Lines Association 211 Welsh Pool Road, Suite 200 Exton, PA 19341	Customer ID	0753			
	Policy Number	XMLEXAMPLE-1604-E			
	Binder Number	XMLEXAMPLE-1604-E			
	Customer Reference Number				
Type of Filing (please select one): 1604-E (Export)					
REPORT OF TRANSACTION WITH UNLICENSED INSURER(S) IN ACCORDANCE WITH SECTIONS 1604, 1606, 1608 AND 1609 OF ARTICLE XVI, SURPLUS LINES, OF THE INSURANCE COMPANY LAW, ACT OF MAY 17, 1921, P.L. 682, NO. 284, AS AMENDED					
DECLARATION BY SURPLUS LINES LICENSEE					
Insured Name JOHN SMITH					
Location of Risk		Zip	City	State	
211 WELSH POOL ROAD		19341	EXTON	PA	
Type of Coverage		Description of Insured's Operation			
Employer Practices Liability		Medical-Home Health Service			
Employer Practices Liability		Medical-Home Health Service			
Effective Dates (term) of Coverage					
Effective Date (mm/dd/yyyy)		Expiration Date (mm/dd/yyyy)			
01/01/2006		01/01/2007			
ELIGIBLE INSURERS					
		Add Another Insurer		Remove Last Insurer	
Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium	
1	48123	ACE EUROPEAN GROUF	\$ 10,000,000	\$ 0	\$ 1,000.00
Total from Eligible Insurers		\$ 10,000,000	\$ 0	\$ 1,000.00	
Tax		\$ 30.00	<input type="checkbox"/> Check here ONLY if Tax Exempt		

6.7 Multiple Filings in a Single XML File

Below is a sample XML file that contains five filings. Please note the following.

- The "customer_id" field is required for each filing.
- The Number of Filings value must be the total number of filings (5 in this example).
- The Total of Premiums value must be the sum of the five filings (15000.15 in this example).
- The values for Trans ID should be 1 through 5.

```
<?xml version="1.0" ?>
<filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
    <filing_version>1</filing_version>
    <number_of_filings>5</number_of_filings>
    <total_of_filing_premiums>15000.15</total_of_filing_premiums>
  </filing_header>
  <filing type="new" xml_tran_id="1">
    <customer_id>0753</customer_id>
    <filing_type_id>1</filing_type_id>
    <policy_number>XMLExample-1609-SLL-m</policy_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>29</type_of_coverage>
    <description_of_insured_operation>21</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
      <eligible_insurer>
        <org_id>48123</org_id>
        <property_limit>10000000</property_limit>
        <casualty_limit>0</casualty_limit>
        <premium>1000.01</premium>
      </eligible_insurer>
    </eligible_insurers>
    <pr_image_filename>Test1609-1.pdf</pr_image_filename>
  </filing>
  <filing type="new" xml_tran_id="2">
    <customer_id>0753</customer_id>
    <filing_type_id>2</filing_type_id>
    <policy_number>XMLExample-1609-B-m</policy_number>
    <individual_licensee_number>56142</individual_licensee_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>19</type_of_coverage>
    <description_of_insured_operation>17</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
```

```

<city>Exton</city>
<state>PA</state>
<zipcode>19341</zipcode>
<eligible_insurers>
  <eligible_insurer>
    <org_id>48123</org_id>
    <property_limit>10000000</property_limit>
    <casualty_limit>0</casualty_limit>
    <premium>2000.02</premium>
  </eligible_insurer>
</eligible_insurers>
</filing>
<filing type="new" xml_tran_id="3">
  <customer_id>0753</customer_id>
  <filing_type_id>3</filing_type_id>
  <policy_number>XMLExample-1610-A-m</policy_number>
  <individual_licensee_number>56142</individual_licensee_number>
  <effective_date>01/01/2006</effective_date>
  <expiration_date>01/01/2007</expiration_date>
  <type_of_coverage>19</type_of_coverage>
  <description_of_insured_operation>17</description_of_insured_operation>
  <insured_name>John Smith</insured_name>
  <location_of_risk>211 Welsh Pool Road</location_of_risk>
  <city>Exton</city>
  <state>PA</state>
  <zipcode>19341</zipcode>
  <eligible_insurers>
    <eligible_insurer>
      <org_id>48123</org_id>
      <property_limit>10000000</property_limit>
      <casualty_limit>0</casualty_limit>
      <premium>3000.03</premium>
    </eligible_insurer>
  </eligible_insurers>
</filing>
<filing type="new" xml_tran_id="4">
  <customer_id>0753</customer_id>
  <filing_type_id>4</filing_type_id>
  <policy_number>XMLExample-1610-B-m</policy_number>
  <purchasing_group_id>36828</purchasing_group_id>
  <individual_licensee_number>56142</individual_licensee_number>
  <effective_date>01/01/2006</effective_date>
  <expiration_date>01/01/2007</expiration_date>
  <type_of_coverage>19</type_of_coverage>
  <description_of_insured_operation>17</description_of_insured_operation>
  <insured_name>John Smith</insured_name>
  <location_of_risk>211 Welsh Pool Road</location_of_risk>
  <city>Exton</city>
  <state>PA</state>
  <zipcode>19341</zipcode>
  <eligible_insurers>
    <eligible_insurer>
      <org_id>48123</org_id>
      <property_limit>10000000</property_limit>
      <casualty_limit>0</casualty_limit>
      <premium>4000.04</premium>
    </eligible_insurer>
  </eligible_insurers>
</filing>

```



```

        </eligible_insurer>
    </eligible_insurers>
</filing>
<filing type="new" xml_tran_id="5">
    <customer_id>0753</customer_id>
    <filing_type_id>5</filing_type_id>
    <policy_number>XMLExample-1604-E-M</policy_number>
    <effective_date>01/01/2006</effective_date>
    <expiration_date>01/01/2007</expiration_date>
    <type_of_coverage>29</type_of_coverage>
    <description_of_insured_operation>21</description_of_insured_operation>
    <insured_name>John Smith</insured_name>
    <location_of_risk>211 Welsh Pool Road</location_of_risk>
    <city>Exton</city>
    <state>PA</state>
    <zipcode>19341</zipcode>
    <eligible_insurers>
        <eligible_insurer>
            <org_id>48123</org_id>
            <property_limit>10000000</property_limit>
            <casualty_limit>0</casualty_limit>
            <premium>5000.05</premium>
        </eligible_insurer>
    </eligible_insurers>
</filing>
</filings>

```

6.8 Multiple Eligible Insurers and Ineligible Insurers

Below is a sample XML file that contains a filing with five eligible insurers and three ineligible insurers. Please note the following.

- The “customer_id” field is required for each filing.
- The **Total of Filing Premiums** in the File Header section must be the total premium for eligible and ineligible insurers.
- The maximum number of eligible insurers is ten.
- If there are any ineligible insurers, the tag **Explanation_for_1606A** must be included in the file.
- The maximum number of ineligible insurers is three.
- The name of each ineligible insurer must be provided.

```
filings>
  <filing_header>
    <login>jane.doe@agencyname.com</login>
    <password>abcd1234</password>
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    <number_of_filings>1</number_of_filings>
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Below is the Eligible Insurer portion of the filing after it was successfully imported.

ELIGIBLE INSURERS		Add Another Insurer		Remove Last Insurer	
	Eligible Insurer Number	Eligible Insurer	Property Limit	Casualty Limit	Premium
1	48123	ACE EUROPEAN GROUF	\$ 1,000	\$ 1,000	\$ 100.00
2	10512	ADMIRAL INSURANCE C	\$ 10,000	\$ 10,000	\$ 1,000.00
3	10513	ADRIATIC INSURANCE C	\$ 100,000	\$ 100,000	\$ 10,000.00
4	39908	ALEA LONDON LIMITED	\$ 1,000,000	\$ 1,000,000	\$ 100,000.00
5	40659	ALEA NORTH AMERICA	\$ 10,000,000	\$ 10,000,000	\$ 1,000,000.00
Total from Eligible Insurers			\$ 11,111,000	\$ 11,111,000	\$ 1,111,100.00

Below is the Ineligible Insurer portion of the filing after it was successfully imported.

DECLARATION BY SURPLUS LINES LICENSEE (FORM 1606-A)			
A portion of the above risk was placed with the following non-admitted insurer(s) which does not appear on the Pennsylvania Insurance Department's current list of eligible surplus lines insurers:			
	<u>Ineligible Insurer</u>	<u>Property Limit</u>	<u>Casualty Limit</u>
1	INSURANCE COMPANY A	\$ 10	\$ 10
2	INSURANCE COMPANY B	\$ 1	\$ 1
3	INSURANCE COMPANY C	\$ 2	\$ 2
Total from Eligible and Ineligible Insurers		\$ 11,111,013	\$ 11,111,013
Premium			
		\$ 1,111,111.10	\$ 1,111,111.10
Full explanation of why the risk could not be placed with admitted or eligible surplus lines insurers			
THIS TEXT EXPLAINS WHY NON-ADMITTED WERE USED			

7 TYPE OF COVERAGE (KIND)

kind_id	kind_name
2	Fire/EC/All Risk - PROP
3	Difference In Conditions - PROP
4	Auto Physical Damage/Private Pass >60k - PROP
5	Auto Physical Damage/Commercial >60k - PROP
6	Aircraft Phys Damage (Hull/Cargo) - PROP
7	Watercraft Physical Damage/Hull - PROP
8	Ocean Marine (Hull/Cargo) - PROP
9	Inland Marine - PROP
10	General Liability - CAS
12	Lead Abatement Liability - CAS
13	Lead Liability - CAS
14	Hazardous Waste Site Mitigation Liab. - CAS
15	Hazardous Waste Disposal Site Liab. - CAS
16	Nuclear Energy Liability - CAS
17	Employer Practices Liability - CAS
18	Product Liability - CAS
19	Professional Liab. (E&O/Malpractice) - CAS
20	Directors and Officers Liability - CAS
21	Public Officials Liability - CAS
22	Liquor Law Liability (Monoline) - CAS/EXP
23	Aircraft Liability (Monoline) - CAS
24	Watercraft Liability - CAS
25	Ocean Marine (Protection/Indemnity) - CAS
26	Environmental Impairment Liability - CAS
27	Fidelity/Crime - CAS
28	Special Coverage - CAS/EXP
29	Umbrella or Excess Liab. Follow Form - CAS
30	Railroad Liability - CAS/EXP
31	Homeowners - PROP/CAS
32	BOP/SMP - PROP/CAS
33	Auto Physical Damage/Private Passenger - PROP
34	Auto Physical Damage/Commercial - PROP
35	Excess Auto Liability/Private Passenger - CAS

kind_id	kind_name
36	Excess Auto Liability/Commercial - CAS
37	Earthquake (Monoline) - PROP
38	Flood (Non N.F.I.P.) - PROP/EXP
39	Primary Auto Liability/Commercial - CAS
40	Garage Liability - CAS
41	Garage Physical Damage - PROP
42	Accident & Health - CAS
43	Animal Mortality - PROP
44	Credit - CAS
45	Builders Risk - PROP
46	Crop - PROP
47	Products - Recall - PROP
48	Business Interruption - PROP
49	Boiler And Machinery - PROP
50	Unemployment Compensation - CAS
51	Excess Workers Compensation - CAS
52	Excess Medical Plan Coverage - CAS
53	Garage Package - PROP/CAS
54	Political Action Committee Liability - CAS
55	Law Enforcement Liability - CAS
56	Hacker Coverage - PROP
57	Demolition Contractors Liability - CAS/EXP
58	Security Professional - CAS/EXP
59	Patent Infringement - CAS
60	Sexual Molestation/Other - CAS
61	Hole In One - PROP
62	Motor Cargo - PROP
63	Railroad Physical Damage/Cargo - PROP/EXP
64	Airport Liability - CAS
65	Mortgage Impairment - PROP
66	Day Care Liab (Inc. Sex Abuse) - CAS/EXP
67	Hacker Package - PROP/CAS
68	Hacker Security Liability - CAS
69	Warehouseman Legal Liability - PROP
1001	Warranty Coverage - CAS
11	Asbestos Abatement Liability - CAS
1010	Nursing Home Liability - CAS/EXP
1011	Terrorism Liability - CAS
1014	Financial Guarantee - PROP
1015	Taxicab Liability - CAS/EXP
1012	Terrorism Physical Damage - PROP
1013	Terrorism Combination - PROP/CAS
1016	Medical Malpractice Liability - CAS/EXP
1017	Real-Estate Env. Impair. Coverage - CAS/EXP
1018	Business Owners - PROP/CAS
1019	Commercial Auto - CAS
1020	Commercial Inland Marine- PROP
1021	Commercial Property - PROP
1022	Commercial Umbrella - CAS
1023	Cyber Security Coverage - PROP/CAS
1024	Dwelling Liab. (Incl. Person. Umbrella) - CAS

kind_id	kind_name
1025	Dwelling Program - PROP
1026	E&O Liability - CAS
1027	E-Commerce/Cyber/Media - CAS
1028	Equipment Breakdown - PROP
1029	Event Cancellation - PROP
1030	Farm Owners - PROP/CAS
1031	Financial Institutions - CAS
1032	Personal Auto - Private Passenger - CAS
1033	Personal Inland Marine - PROP
1034	Professional Liability - CAS
1035	Watercraft - PROP/CAS

8 DESCRIPTION OF INSURED'S OPERATION (CLASS)

class_id	class_name
5	Manufacturing - Automotive
6	Mining/Drilling
9	Manufacturing - Petrochemical
10	Manufacturing - Pharmaceutical
11	Government
12	Charitable Institution
13	Personal Lines - Other
14	Contracting - Chemical Spray/Drift - Export
15	Contracting - Demolition
16	Environmental
17	Service - Miscellaneous
18	Manufacturing - Explosives
19	Manufacturing - Miscellaneous
20	Commercial Truck - Miscellaneous
21	Commercial Truck - Explosive Haulers
22	Armored Car Service
23	Marine
24	Railroad - Export
25	Real Estate Commercial
26	Utilities
27	Amusements - Miscellaneous
28	Carnival Rides
29	Amusement Parks/Devices - Export
30	Auto Racing/Race Tracks
31	Golf Courses/Driving Ranges
32	Midget Autos/Go Carts
33	Animal Rides
34	Rodeos/Horse Shows
35	Balloon Rides - Hot Air/Gas
36	Recreational/Sporting Events - Export
37	Guide/Lodge/Outfitters
38	Horseback Riding Establishment
39	Hunting Clubs - Export
40	Special Short - Term Events - Export
41	Skating Rink/SkateBoard Park
42	Ski Resort - Owners/Operators

class_id	class_name
43	Theatrical Presentations - Export
44	Financial Institution
45	Vacant Properties - Export
46	Dwellings - Tenant Occupied
47	Animal (all classes)
48	Professional - Architects
49	Professional - Engineers
50	Medical - Nursing Home
51	Medical - Day Care
52	Professional - Accountants
53	Professional - Attorneys
54	Professional - Educators
55	Health Club/Gym
56	Gas/Oil Dealer
57	Medical - Home Health Service
58	Manufacturing - Ladder/Scaffold
59	Medical - Social/Human Services
60	Stadium/Arenas
61	Boat Rentals
62	Aviation
63	Agriculture
64	Medical - Hospitals
65	Medical - Doctors
66	Medical - Dentists
67	Medical - Clinics
68	Medical - Blood Banks (Including Organ)
69	Professional - Insurance Agent/Broker
70	Personal Lines - Automotive
71	Manufacturing - Steel or Aluminum
72	Manufacturing - Foods & Edibles
73	Commercial Truck - Petro Haulers
74	Commercial Truck - Hazardous Waste
75	Automotive - Dealer
76	Automotive - Repair/Service
77	Contracting - Alarm/Sprinkler
78	Contracting - House Movers
79	Contracting - Electrical
80	Contracting - Roofing
81	Contracting - Plumbing
82	Contracting - HVAC
83	Contracting - Painting
84	Contracting - Lead Remediation
85	Contracting - Hazardous Waste
86	Contracting - Asbestos
87	Swimming Pools/Clubs
88	Automotive - Miscellaneous
89	Medical - Miscellaneous
90	Restaurant
91	Bar/Saloon
92	Barber/Beauty Salon
93	Retail Store

class_id	class_name
94	Habitational Properties - Hotel/Motel/Inn
95	Societies/Clubs
96	Jeweler
97	Furrier
98	Fine Arts Dealer/Galleries/Museums
99	Contracting - Miscellaneous
100	Contracting - Carpenter
101	Professional - Schools/Colleges
102	Professional - Police Department
103	Airports/FBO - Export
104	Service - Security/Detective/Patrol - Export
105	Professional - Miscellaneous
1002	Anonymous (K&R) - Export
1003	Automotive - Livery
1004	Churches
1006	Commercial Truck - Trash & Waste Removal
1017	Habitational Properties - Condos/Co-ops/H.O. Assn.
1010	Habitational Properties - Apartments
1011	Professional - Property Inspector
1012	Service - Janitorial and Cleaning
1013	Service - Laundromats/Dry Cleaning
1014	Contracting - Landscaping/Tree-Trim/Snow Removal
1016	Night Clubs
1018	Logging and Sawmills
1019	Service - Catering
1020	Welding
1022	Contracting - Ground Applicators Chemical
1023	Camps/Camp Grounds
1024	Trailer Park
1025	Personal Lines - Homeowners
1026	Habitational Properties - Boarding House
1027	Contracting - Excavation
1028	Warehousing/Distribution/Self-Storage
1029	Contracting - Cable/Satellite Installation
1030	Contracting - Concrete Construction
1031	Contracting - Drywall
1032	Contracting - Exterminator
1033	Contracting - Floor/Wall Covering Installation
1034	Contracting - Masonry
1035	Contracting - Rigging
1036	Contracting - Swimming Pool Installation
1037	Daycare Centers
1038	Exhibitions/Expositions
1039	Funeral Home
1040	Manufacturing - Scientific/Medical Equipment
1041	Medical - Personal Care
1042	Professional - Financial Managers
1043	Professional - Real Estate
1044	Professional - Staffing Agency
1045	Radio/TV Towers
1046	Supermarket

class_id	class_name
1047	Technology
1048	Firework Sales/Manufacturing-Export

